

36th Annual Regulatory Compliance Conference & Tradeshow

Tuesday, May 7, 2024 | Tarrytown NY

*Preliminary Program At-A-Glance

8:00am – 5:30pm:	On-Site Registration Welcome Desk Open
8:00am – 9:30am:	Continental Breakfast
8:30am – 9:15am:	**Anti-Money Laundering (AML) Training Class
9:25am – 10:25am:	**Cybersecurity Training Certificate Class
10:25am – 10:40am:	15 Minute Break
10:45am – 11:30am:	**Whistleblower & Sexual Harassment in the Workplace Certificate Class
11:35am – 12:25pm	Lender / Broker Roundtable
12:30pm – 1:30pm	Lunch with the Exhibitors
1:45pm – 3:00pm	DFS Cybersecurity Team Presentation
3:15pm – 4:45pm	DFS Deputy Ricketts Presentation
4:45pm – 6:00pm	Exhibit Hall networking with Exhibitors and Meet the DFS Cybersecurity Team for some one-on-one time. Raffles in Exhibit Hall (open bar, food, raffles)

^{*}program subject to changes

^{**}certificate issued to all those who pre-registered for the certificate classes only